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PART B - FEE(S) TRANSMITTAL

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Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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INSTRUCTIONS: This appropriate. All further indicated unless correct maintenance fee notifica	correspondence including ed below or directed other	for trans ng the P herwise	mitting the ISSU atent, advance or in Block 1, by (a	JE FEE and PUBLIC ders and notification a) specifying a new c	of m orres	naintenance fees w pondence address;	vill be and/or	mailed to the current r (b) indicating a sepa	nould be completed where correspondence address as rate "FEE ADDRESS" for
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Suite 1600 - Int	plin & Kelly, P.A. ernational Centre				I her State addre trans	eby certify that the es Postal Service we essed to the Mail mitted to the USP	is Fee(vith suf Stop TO (57	e of Mailing or Transn s) Transmittal is being fiticient postage for firs ISSUE FEE address (1) 273-2885, on the di	deposited with the United t class mail in an envelope above, or being facsimile ate indicated below.
900 Second Ave Minneapolis, Mi									(Depositor's name)
winneapons, wi	N 33402-3319								(Signature)
									(Date)
APPLICATION NO.	FILING DATE	. [FIRST NAMED INVEN	TOR		АТТО	RNEY DOCKET NO.	CONFIRMATION NO.
10/714,478	11/13/2003			Judy Lynn Westb	v			STL 8646	2370
TITLE OF INVENTION		ARATUS	S TO REDUCE S		•	ONS PATH CONN	IECTIO		2570
APPLN. TYPE	SMALL ENTITY	ISS	UE FEE DUE	PUBLICATION FEE D	UE	PREV. PAID ISSUE	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	•	\$1400	\$0	•	\$0		\$1400	11/20/2006
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DU, TH	UAN N		2116	710-040000					
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3. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE	PRINTED ON T	THE PATENT (print o	or type	e)			
PLEASE NOTE: Unl	less an assignee is ident h in 37 CFR 3.11. Comp	ified bel pletion o	ow, no assignee f this form is NO	data will appear on the formal to the data will appear on the formal to the data will be a substitute for filing	he pa g an a	tent. If an assigno	ee is id	dentified below, the do	ocument has been filed for
(A) NAME OF ASSIG	GNEE			(B) RESIDENCE: (C	CITY	and STATE OR C	OUNT	RY)	
Please check the appropr	iate assignee category or	r categori	ies (will not be pr	inted on the patent):	ا ت	Individual Co	rporati	on or other private gro	up entity Government
4a. The following fee(s):	are submitted:		4b	Payment of Fee(s): (A check is enclose	•	se first reapply an	y prev	riously paid issue fee s	hown above)
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5. Change in Entity Sta	tus (from status indicated	d above)			-				<u> </u>
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NOTE: The Issue Fee an interest as shown by the i	d Publication Fee (if requeecords of the United Sta	uired) wites Pater	ill not be accepted and Trademark	l from anyone other th Office.	an th	e applicant; a regis	stered a	attorney or agent; or the	e assignee or other party in
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This collection of inform an application. Confident	ation is required by 37 C tiality is governed by 35	CFR 1.31 U.S.C.	1. The information 122 and 37 CFR	n is required to obtain	or re				by the USPTO to process) g gathering, preparing, and le you require to complete

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/714,478	11/13/2003	Judy Lynn Westby	STL 8646	2370
75	90 08/18/2006		EXAM	NER
Joseph R. Kelly			DU, THI	JAN N
Westman, Champli	n & Kelly, P.A.		ART UNIT	PAPER NUMBER
Suite 1600 - Intern 900 Second Avenue Minneapolis MN 5	e South		2116 DATE MAILED: 08/18/2006	5

Determination of Patent Term Extension or Adjustment under 35 U.S.C. 154 (b)

A reissue patent is for "the unexpired part of the term of the original patent." See 35 U.S.C. 251. Accordingly, the above-identified reissue application is not eligible for Patent Term Extension or Adjustment under 35 U.S.C. 154(b).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Notice of Allowability 10/714.478		Application No.	Applicant(s)				
Notice of Allowability		 10/714.478	WESTBY ET AL.				
	Notice of Allowability						
All claims being allowable. PROSECUTION ON THE MERTS IS (OR REMAINS) CLOSED in this application. If not include herewith for previously mailed, a Notice of followance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 OFR 1313 and MPEP 1308. 1. ☑ This communication is responsive to **emendment *Ried on March 1, 2005** 2. ☑ The allowed claim(s) is/are 1-39. 3. ☑ The drawings filed on **13 November 2003** are accepted by the Examiner. 4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) ☐ All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified oppies of the priority documents have been received. 2. ☐ Certified oppies of the priority documents have been received in Application No 3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the international Bureau (PCT Rule 17.2(a)). **Certified copies not received Applicant has THREE MONTH'S FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Faiture to timely comply will result in ABANDONMENT of this application. 1. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (b) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) ☐ here for 2) ☐ to Paper No./Mail Date Identifying Indicts such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.12(d). 1. ☐ Notice of Praftperson's Patent Drawing Review (PTO-948) attached Examiner's Comment Regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOG		Thuan N. Du	2116				
2.	All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R	ears on the cover sheet with the co (OR REMAINS) CLOSED in this apport or other appropriate communication IGHTS. This application is subject to	orrespondence address plication. If not included will be mailed in due course. THIS				
3.	1. \boxtimes This communication is responsive to <u>amendment filed on t</u>	<u>March 1, 2005</u> .					
4.	2. The allowed claim(s) is/are <u>1-39</u> .		•				
a) All b) Some* c) None of the: 1. Cartified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). * Certified copies not received: Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE MONTH PERIOD IS NOT EXTENDABLE. 5. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. 6. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date Identifying Indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 7. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. Attachment(s) 1. Notice of References Cited (PTO-892) 2. Notice of Draftperson's Patent Drawing Review (PTO-948) 3. Notice of Draftperson's Patent Drawing Review (PTO-948) 3. Notice of Draftperson's Patent Drawing Review (PTO-948) 4. Examiner's Comment Regarding Requirement for Deposit 8. Examiner's Statement of Reasons for Allowance	3. \boxtimes The drawings filed on <u>13 November 2003</u> are accepted by	the Examiner.					
noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. 6. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) ☐ hereto or 2) ☐ to Paper No./Mail Date	 a) All b) Some* c) None of the: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). 						
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Reasons for Allowance

- 1. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.
 - a. Hashimoto et al. (U.S. Patent No. 6,115,767) discloses a system and method for arbitrating and providing control of a bus for a first device to complete transfer a predetermined amount of data before a re-arbitration.
 - b. Pritty et al. (U.S. Patent No. 4,819,229) teaches an interrupt system wherein the interruption depends on the amount of untransmitted data. The interrupt will be ignored (maintain control of the communications channel) as long as a predetermined amount of data (less than 10% of the total data or a fixed number of bytes) left for transmission.
- 2. The following is an examiner's statement of reasons for allowance:

Applicant's claimed invention distinguishes over the prior art for the following reasons.

The claims are allowable over the prior art of record because none of the references, either alone or in combination, discloses or renders obvious the arbitration-and-control apparatus configured to arbitrate for control of the serial communications path and, after control is achieved, maintain control of the serial communications path as long as at least a first predetermined amount of data is available within control of the first serial device, wherein the first predetermined amount of data within control of the first serial device includes at least some data not available for transfer to the serial communications path.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue

Page 3

Application/Control Number: 10/714,478

Art Unit: 2116

fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for

Allowance."

Conclusion

3. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Thuan N. Du whose telephone number is (571) 272-3673. The

examiner can normally be reached on Monday and Wednesday-Friday: 9:30 AM - 8:00 PM,

EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Lynne H. Browne can be reached on (571) 272-3670.

Central TC telephone number is (571) 272-2100.

The fax number for the organization is (703) 872-9306.

4. Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private

PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll free).

LYNNE H. BROWNE SUPERVISORY PATENT EXAMINER

TECHNOLOGY CENTER 2100

Thuan N. Du April 26, 2005

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PORM PTO-1449	Atty. Docket No \$104.12-0060	Appl. No.: 10/714,478
LIST OF PATENTS AND PUBLIC APPLICANT'S INFORMAT DISCLOSURE STATEME	TON	ventor:
	Judy Lynn Westh	y
	Filing Date	Group Art:

November 13, 2003

U.S. PATENT DOCUMENTS

ner ial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	4,486,739	12/1984	Franazek et al.	340	347	
AB	4,819,229	4/1989	Pritty et al.	370	89	
AC	5,168,568	12/1992	Thayer et al.	710	125	
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FOREIGN PATENT DOCUMENTS

•	Document No.	Date	Country	Class	Sub Class	Translation Yes No
\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	07028746	1/1995	Japan	·		

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

24	AD	"Fibre, Channel, Arbitrated Loop (FC-AL), REV 4.5", American National Standard for Information Technology draft proposed, ANSI X3.272-199 X, (June 1, 1995).				
₩	AE	"Fibre, Channel, Arbitrated Loop (FC-AL-2), REV 6.3", American National Standard for Information Technology draft proposed, ANSI X3.XXX-199 X, (May 29, 1998).				
0x	AF	"Fibre, Channel, Physical and Signaling Interface (FC-PH), REV 4.3", American National Standard for Information Systems draft, ANSI X3.230-199X, (Jun. 1. 1994)				
*	AG	"Information Systems-dpANS Fibre Channel Protocol for SCSI", American National Standard-draft proposed, X3.269-199X revision 12, (Dec. 4, 1995).				
77	АН	Georgiou, J.J., et al. "Scalable Protocol Engine for High-Bandwidth Communications", IEEE pp. 1121-1126, (1997).				
EXAMI	NER:	Thron on DATE CONSIDERED: 4/23/05				

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 2 of 3

PORM PTO-1449	Atty. Docket No.: \$104.12-0060	Appl. No.: 10/714,478	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor	or:	
	Judy Lynn Westby		
	Filing Date	Group Art:	
	November 13, 2003	2116	

U.S. PATENT DOCUMENTS

Exam: Init		Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
K	BA	5,260,933	11/1993	Rouse	370	14	
, DY	BB	5,535,035	7/1996	DeFoster et al.	359	161	
. 38	BC	5,598,541	1/1997	Malladi	395	286	
7h	BD	5,671,425	4/1997	Anderson	371	10.2	
VÅ.	BE	5,619,497	4/1997	Gallagher et al.	370	394	·
N	BF	5,619,647	4/1997	Jardine	709	240	
M	BG	5,638,518	6/1997	Malladi	395	200.21	
188	вн	5,663,724	9/1997	Westby	341	59	
M	BI	5,694,615	12/1997	Thapar et al.	395	822	
VA	ВJ	5,758,081	5/1998	Aytac	395	200.41	
14	вк	5,761,534	6/1998	Lundberg et al.	395	870	
My .	BL	5,761,705	6/1998	DeKoning et al.	711	113	
M	вм	5,764,931	6/1998	Schmahl et al.	395	287	
Th	BN	5,764,972	6/1998	Crouse et al.	395	601	
M	во	5,768,530	6/1998	Sandorfi	395	200.63	
74	ВР	5,768,623	6/1998	Judd et al.	395	857	

EXAMINER: Junan du DATE CONSIDERED: 4/23/85

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPBP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 3 of 3

FORM PTO-1449	Atty. Docket No.: \$104.12-0060	Appl. No.: 10/714,478
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor	:
	Judy Lynn Westby	
	Filing Date	Group Art:
_	November 13, 2003	2116

U.S. PATENT DOCUMENTS

Exam: Init		Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
78	CA	5,778,426	7/1998	DeKoning et al.	711	122	
VA	СВ	5,781,801	7/1998	Flanagan et al.	395	876	
M.	СС	5,787,242	7/1998	DeKoning et al.	395	182.03	
NS	CD	5,787,450	7/1998	Diedrich et al.	707	513	
M	CE	5,790,733	8/1998	DeKoning et al.	395	182.04	
	CF	5,790,792	8/1998	Dudgeon et al.	395	200.42	
M	CG	5,802,080	9/1998	Westby	371	53	
A.A.	СН	5,805,788	9/1998	Johnson	395	182.04	
or or	CI	5,805,920	9/1998	Sprenkle et al.	395	821	
M	ರು	5,807,261	9/1998	Benaron et al.	600	473	
DA	СК	5,809,328	9/1998	Nogales et al.	395	825	
M	CL	5,812,564	9/1998	Bonke et al.	371	40.1	
M	СМ	5,812,754	9/1998	Lui et al.	395	182.04	
M	CN	5,815,662	9/1998	Ong	395	200.47	
N	co	5,819,054	10/1998	Ninomiya et al.	395	308	
M	CP	5,819,111	10/1998	Davies et al.	710	29	
M	CQ	5,822,143	10/1998	Cloke et al.	360	65	
	CR	5,822,782	10/1998	Humlicek et al.	711	170	
08 03	cs	5,901,280	5/1999	Mizuno et al.	395	182.04	
M	CT	5,930,483	7/1999	Cummings et al.	710	107	
₩	CU	6,012,128	1/2000	Birns et al.	711	163	

EXAMINER: Things In DATE CONSIDERED: 4/23/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Notice of References Cited Application/Control No. 10/714,478 Examiner Thuan N. Du Applicant(s)/Patent Under Reexamination WESTBY ET AL. Art Unit Page 1 of 1 U.S. PATENT DOCUMENTS

Document Number Country Code-Number-Kind Code Date Classification Name MM-YYYY 710/107 09-2000 Hashimoto et al. US-6,115,767 Α US-В US-С D US-Е US-US-F US-G US-Н ı US-US-US-Κ US-L М US-

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)				
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

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NOTICE OF ALLOWANCE AND FEE(S) DUE

08/18/2006

Joseph R. Kelly Westman, Champlin & Kelly, P.A. Suite 1600 - International Centre 900 Second Avenue South Minneapolis, MN 55402-3319

EX	AMINER
DU,	THUAN N
ART UNIT	PAPER NUMBER
2116	

DATE MAILED: 08/18/2006

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.,	CONFIRMATION NO.
10/714,478	11/13/2003	Judy Lynn Westby	STL 8646	2370

TITLE OF INVENTION: METHOD AND APPARATUS TO REDUCE SERIAL COMMUNICATIONS PATH CONNECTION OVERHEAD

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$0	\$1400	11/20/2006

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

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